

LIU & LIU
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To: Examiner Benny Tieu (GAU 2643)	From: Julie Nguyen
Fax: (703) 746-5779	Pages: 11
Phone: (703) 305-2360	Date: 9/10/04 2:33 PM
Re: Serial No. 09/763,589	CC:

Our Docket No. 1141/201

☒ **Urgent** ☒ **For Review** ☐ **Please Comment** ☒ **Please Reply** ☐ **Please Recycle**

This facsimile contains confidential information which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Liu & Liu immediately by telephone or facsimile and return it promptly by mail.

● **Comments:**

Our client Ringfree International Corp. is the assignee of record for the present application, and our firm is the representative of record. Attached are copies of the following documents to show chain of title and Power of Attorney:

1. Assignment from inventors Rhee and Hong to Ringfree Co. Ltd.;
2. Assignment from Ringfree Co. Ltd. to Ringfree International Corp. (recorded on 2/10/03 at Reel 013739, Frame 0193);
3. Revocation of Prior Power of Attorney and Power of Attorney appointing our firm;
4. Statement under 37 C.F.R. 3.73 (b); and
5. Return-Stamped Postcard received from USPTO on June 30, 2003, indicating receipt of above documents.

You have advised us on August 5, 2004, that the application has been abandoned for failure to respond to a Non-Final Office Action dated November 18, 2003. The Office Action was sent to Lee & Hong P.C., the prior representative for the assignor Ringfree Co., even though revocation and new Power of Attorney appointing our firm was filed in June 2003. Lee & Hong P.C. failed to forward the Office Action to our firm, even

LIU & LIU
ATTORNEYS AT LAW

Ex. Benny Tieu
September 9, 2004
Page 2

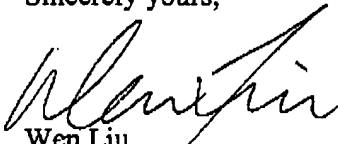
though they were aware that we are representing the assignee, my client, Ringfree International.

Given that the Office Action was misdirected to the wrong representative due to circumstances that are no fault of our client, including apparent and unreasonable delay by the Patent Office in effecting a change in Power of Attorney, we respectfully request that this case be reopened without requiring a formal Petition to Revive. Our client should not be burdened with the additional cost of such Petition. Please resend the Office Action with a revised date for response.

Should a formal Petition be required, we respectfully request a copy of the November Office Action by fax.

If you have any questions, please feel free to contact our office.

Sincerely yours,


Wen Liu
(Reg. No. 32,822)

JAN-16-03 11:53

FROM-LEE & HONG

2132508150

T-555 P.02

F-887

Form PTO-1595
1-3-82RECORDATION FORM COVER LETTER
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney Docket No.: 2048-3-02

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hyoung Chan Rhee; Jong Su Hong

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 6, 2002

2. Name and address of receiving party(ies):

RINGFREE CO., LTD.
Dusan Beamsel 1308,
1319-11, Seocho-2-dong, Seocho-ku,
SEOUL, 137-040, REPUBLIC OF KOREAAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/763,589

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/763,589

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

AMIT SHETH, Esq.
LEE & HONG P.C.
221 N. FIGUEROA STREET, 11TH Floor
LOS ANGELES, CALIFORNIA 90012

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40

- ☒ Enclosed
☐ Authorized to be charged to deposit account

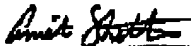
8. Deposit account number: 502290

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.



AMIT SHETH, REG. NO. 50,178

Date: December 30, 2002

OMB No. 0851-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

JAN-18-03 11:53

FROM-LEE & HONG

2132508150

T-565 P.03

F-997

Attorney Docket No.
2048-3-02

ASSIGNMENT

Insert Name(s) of Inventor(s)

RHEE, HYOUNG CHAN HONG, JONG SU

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

Insert name of Assignee

RINGFREE CO., LTD.

Insert state of Incorporation of Assignee

Republic of Korea

Insert address of Assignee

of
Dusan Bearstel 1308, 1319-11, Seocho-2-dong, Seocho-ku, Seoul 137-040, Korea
(hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as
METHOD AND DEVICE FOR GENERATING VOICE/TEXT/IMAGE COMMERCIAL INFORMATION RINGBACK TONE DURING COMMUNICATION WAIT
(U.S. Patent Application No.: 09/763,583 filed on February 23, 2001)

Insert Identification of Invention,
such as Title, Case Number or
Foreign Application Number

for which the undersigned has (have) executed an application for patent in the United States of America and all patent applications in foreign countries corresponding thereto or based thereon.

Insert Date of Signing of
Application

February 22, 2001

- 1) The undersigned agree(s) to execute all papers necessary in connection with any original, reissue, divisional and continuing United States and foreign applications for the above-identified invention and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
- 2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
- 3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
- 5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed any agreement in conflict herewith.
The undersigned hereby appoints JONATHAN Y. KANG, REG. NO. 38,199; RICHARD K. YOON, REG. NO. 42,247; EDWARD GRAY, REG. NO. 35,166; DAVID N. MAKOUS, REG. NO. 29,559; JOHN S. CHRISTOPHER, REG. NO. 30,937

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: Dec. 06, 2002 Signature of Inventor

Date: Dec. 06, 2002 Signature of Inventor

Date: Signature of Inventor

Date: Signature of Inventor



JUNE 18, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

LIU & LIU
WEN LIU
811 WEST 7TH STREET
SUITE 1100
LOS ANGELES, CA 90017



102363671A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/10/2003

REEL/FRAME: 013739/0193

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RINGFREE COMPANY, LTD.

DOC DATE: 01/07/2003

ASSIGNEE:

RINGFREE INTERNATIONAL CORPORATION
7110 MOTZ STREET
PARAMOUNT, CALIFORNIA 90723

SERIAL NUMBER: 09763589

FILING DATE: 02/23/2001

PATENT NUMBER:

ISSUE DATE:

THERESA FREDERICK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Form PTO-1595
(Rev. 03/01)
OMB No. 0851-0027 (exp. 5/31/2002)
Tab settings

02-12-2003
102363671

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): RINGFREE COMPANY LTD. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: RINGFREE INTERNATIONAL CORPORATION Internal Address: _____ Street Address: _____ 7110 Motz Street City: Paramount State: CA Zip: 90723 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: 01/07/2003	4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) 09/763,589 B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Wen Liu Internal Address: LIU & LIU Street Address: _____ 811 West 7th Street, Suite 1100 City: Los Angeles State: CA Zip: 90017	6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41).....\$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account. 8. Deposit account number: 501288
DO NOT USE THIS SPACE	
9. Signature. WEN LIU Name of Person Signing Signature February 3, 2003 Date Total number of pages including cover sheet, attachments, and documents: 2	

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

02/11/2003 LMUELLER 00000161 09763589

01 FC:8021

40.00 DP

ASSIGNMENT

WHEREAS, Ringfree Company Ltd., a Korean corporation, (hereinafter "Assignor"), is the sole and exclusive owner, by assignment, of the United States Patent Application Serial No. 09/763,589, filed February 23, 2001, entitled "METHOD AND DEVICE FOR GENERATING VOICE/TEXT/IMAGE COMMERCIAL INFORMATION RINGBACK TONE DURING COMMUNICATION WAIT" (the "Patent Application"), and the inventions described therein; and

WHEREAS, Ringfree International Corporation, a corporation of California (hereinafter "Assignee"), is desirous of acquiring the entire worldwide right, title and interest in and to the inventions covered by said Patent Application.

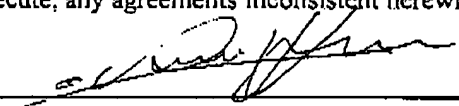
NOW, THEREFORE, in consideration of and in exchange for the sum of One Dollar (\$1.00) to be paid by Assignee and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, and transferred, and does hereby sell, assign, and transfer the entire right, title and interest in and to the above-mentioned inventions, Patent Application, and any and all applications for Letters Patent in the United States of America and in all foreign countries (including but not limited to any and all priority patent applications filed in Korea and under the PCT), and in any and all Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents, may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND for the same consideration, Assignor hereby assigns to Assignee all of its rights to pursue any and all legal and equitable claims, causes of action, remedies and any other rights under the Patent Application and all Letter Patents in the United States of America and in any and all patent applications filed and Patents granted in all foreign countries, that may have accrued prior to the date of this assignment, including but not limited to any and all claims for patent infringement that may have occurred prior to the date of this assignment.

AND for the same consideration, Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignor is the sole and lawful owner of the entire right, title and interest in and to the said inventions and the Patent Application and any and all foreign patent applications above-mentioned and all existing and future related patent applications worldwide (including but not limited to obtaining in writing assignment of rights or obligation to assign to Assignor from all the inventors), and that the same are unencumbered and that the said Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent or Patents of the United States on said inventions or resulting from said Patent Applications and any continuations, divisionals, reissues and reexamined patents related to the Patent Application to Assignee as Assignee of the entire interest, and hereby covenants that it has full right to convey the entire interest herein assigned, and that it has not executed, and will not execute, any agreements inconsistent herewith.

JAN 7, 2003
Date


Assignor

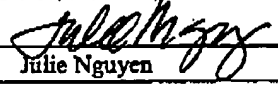
By Its Authorized Representative: RHEE, H YOUNG CHAN

Title: PRESIDENT / REPRESENTATIVE DIRECTOR

PATENT
Docket No.: 1141/201

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 24, 2003.


Julie Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Rhee, et al.

Serial No.: 09/763,589

Filing Date: February 23, 2001

For: METHOD AND DEVICE FOR
GENERATING VOICE/TEXT/IMAGE
COMMERCIAL INFORMATION
RINGBACK TONE DURING
COMMUNICATION WAITREVOCATION OF PRIOR POWER OF ATTORNEY
AND POWER OF ATTORNEYMail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Ringfree International Corporation, the assignee to the entire interest of the above-referenced patent application, hereby revokes all Powers of Attorney previously granted relating to this application and appoints the following persons as my attorneys or agents, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected herewith:

Wen Liu (Reg. No. 32,822)

Jason Truong (Reg. No. 53,704)

all attorneys at the law firm of LIU & LIU, 811 West Seventh Street, Suite 1100, Los Angeles, California 90017, telephone: (213) 830-5740.

Please direct all communications relative to this application to:

Wen Liu
LIU & LIU
811 West Seventh Street; Suite 1100
Los Angeles, California 90017

Please direct all telephone communications to Wen Liu at (213) 830-5743.

Please change the Attorney Docket No. for this application to 1141/201.
Wen Liu (Reg. No. 32,822)

RINGFREE INTERNATIONAL CORPORATION

Dated: 6/24/03, 2003

By: 

Name: RICHARD C. BERTSCH

Title: PRESIDENT/CEO

1141/201

PTO/SB/98 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: RINGFREE INTERNATIONAL CORPORATIONApplication No./Patent No.: 09/763,589 Filed/Issue Date: February 23, 2001Entitled: METHOD AND DEVICE FOR GENERATING VOICE/TEXT/IMAGE COMMERCIAL → *RINGFREE INTERNATIONAL CORP., a CALIFORNIA CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

* **INFORMATION RINGBACK TONE DURING COMMUNICATION WAIT**

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

RHEE, Hyeung Chan

1. From: HONG, Jong Su To: RINGFREE COMPANY LTD.

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: RINGFREE COMPANY LTD. To: RINGFREE INTERNATIONAL CORPORATION

The document was recorded in the United States Patent and Trademark Office at
Reel 013739, Frame 0193, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6/24/03
Date

RICHARD C. BERTSCH
Signature
PRESIDENT/CEO
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

The following items were received at the USPTO on the date stamped hereon:

- Revocation of Prior Power of Attorney & Power of Attorney
- Statement Under 37 CFR 3.73(b)
- Copies of Assignments
- Return Postcard

Serial No.: 09/763,589
Docket No.: 1141/201
Applicant: Rhee, et al.
Date: June 24, 2003

